

Citation for published version:

Harding, JE & Shepherd, P 2017, 'Meta-Parametric Design', *Design Studies*, vol. 52, pp. 73-95.
<https://doi.org/10.1016/j.destud.2016.09.005>

DOI:

[10.1016/j.destud.2016.09.005](https://doi.org/10.1016/j.destud.2016.09.005)

Publication date:

2017

Document Version

Peer reviewed version

[Link to publication](#)

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Manuscript Number: DESTUD-D-16-00040R1

Title: Meta-Parametric Design

Article Type: SI: Parametric Design

Keywords: parametric design; conceptual design; design cognition; human-computer interaction; genetic programming.

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Abstract: Parametric modelling software often maintains an explicit history of design development in the form of a graph. However, as the graph increases in complexity it quickly becomes inflexible and unsuitable for exploring a wide design space. By contrast, implicit low-level rule systems can offer wide design exploration due to their lack of structure, but often act as black boxes to human observers with only initial conditions and final designs cognisable. In response to these two extremes, the authors propose a new approach called Meta-Parametric Design, combining graph-based parametric modelling with genetic programming. The advantages of this approach are demonstrated using two real case-study projects that widen design exploration whilst maintaining the benefits of a graph representation.

Dear Editor,

Corrections to paper are shown in red below each comment.

Editor's comments:

In my opinion the paper in its current state is too long. The author should focus on his original contribution and provide a shorter introduction to the state of the art. The author should focus and provide an in-depth discussion and add more illustration to better present, explain and clarify his main contributions to "meta-parametric design".

The author should read the comments of the reviewer attached below and clarify the five points raised by the reviewer. Regarding the references list, the author should provide a shorter list, focusing and selecting the most relevant references to his own contribution.

- Section numbering has been updated to suit the correct format for the journal.
- The paper has been reduced by 400 words, predominantly by reducing Sections 1&2 whilst still expanding the latter sections in response to the reviewers' comments. Better explanation has been now been provided on both the methods and applications of meta-parametric design in Sections 3&4.
- Illustrations have improved in response to comments (Figures 7, 8, 9, 11 and 13 in particular)
- Reference list has been reduced from 66 to 43 entries by removing less relevant material.

Reviewers' comments:

1. Combining models

Your example of genetic programming (figure 5) uses numeric operators that could have any number of inputs (for example, node 6 in your diagram could happily take the outputs from nodes 2, 3, & 4 and sum them together). But nodes in Grasshopper tend to require specific data types (points, curves, numbers) connected in prescribed manners. This clearly impacts genetic crossover between two parents since a portion of one parent's graph can't always be swapped cleanly with another parent's, which is different to more traditional genetic programming in lisp where a branch from one tree can easily be swapped with a branch in another tree.

I note that you cite Harding 2014 a reference for how the algorithm works. Given that this paper is about making genetic programming work with data flow programming, I feel there needs to be more discussion of this process in the paper. There probably isn't space for a detailed technical analysis but I would expect there would be some acknowledgement of the issues associated with crossing over DAGs and some discussion of how this affects the result - does it take longer to solve? Does the algorithm rely on genetic mutation more than normal? Should we expect this GP to perform similarly to other GPs working on simpler instruction sets?

- A more detailed explanation of the process is provided in Section 3.2 with a new illustrated example (Figure 8). This discusses the method of encoding and how the problem of datatype matching in Grasshopper is handled.
- A discussion on the reliance on genetic mutation and the lack of effectiveness of crossover is provided in Section 3.4 and later in Section 4.2. This is a common trait of a CGP encoding and future work to incorporating DAG crossover within Embryo (using more recent research in the CGP community) is discussed in Section 5.
- CGP has been shown to compare favourably to simpler forms GP with regards bloat (see Section 3.3). In the applications in Section 4 bloat was not an issue, albeit the models were relatively simple.

2. Limiting the search space

Designers using Grasshopper have access to 100s of components, yet genetic programs tend to work best if the instruction set is limited since it reduces the search space. How is this tension resolved? Are there some problems that meta-programming isn't suited due to inability to combine the right nodes?

- A discussion on the issue raised is now provided in Section 3.3. Essentially, the method does indeed suffer similar problems to that described if the instruction set is large, limiting the current application to simple massing models at the early design stage made from a low number of components. Some suggestions are provided for future development of more refined models.
- Embryo allows the designer to quickly explore different combinations of the 100s of standard Grasshopper components (as well as bespoke ones) depending on the problem at hand. In this sense, combinations of rules that have been used in traditional parametric models (and known to the experienced user) can be incorporated.

3. Fitness function

One appeal of genetic programming is that the designer can evaluate topologically known geometry to generate a fitness function. But if the topology of the meta-program is variable, how does the designer generate a fitness function? Do they need to generate a parametric model to evaluate the generated parametric model? What is this process like?

- Figure 9 has been updated to show how machine generated models can retrieve data from the main Grasshopper canvas and also output data to parameter inputs. This enables analysis to be conducted within Grasshopper in the usual way (using third party plug-ins or similar).
- Yes, the user creates a meta-parametric model to create/analyse/evolve a parametric model. A new figure (Figure 11) has been provided and is discussed in Section 3.4. Sections 4.1 and 4.2 also now provide further discussion with regards this setup.

4. Flexibility

Part of the skill of a parametric designer is knowing where to add flexibility to a model. That is, they recognise when to add a parameter to a model because they anticipate wanting to explore that aspect of the design later on, and at the same time they know when to not add a parameter because doing so would take time and not necessarily be useful to the designer. Is it practical for a machine generated parametric model to be used by a designer later on? Or does the designer need to rebuild the machine generated model to make it logical? I could imagine a situation where the GP hits the fitness function but returns a model so convoluted that it is impossible for a designer to make any subsequent changes to the model, in effect freezing the model.

- There is a risk that such methods could lead to *more* unintelligible models that cannot be developed further. In the example given in Section 4.1, the legibility of the model became a target itself so the models could be progressed by the design team in the usual way without having to be rebuilt (see Figure 15).
- Further discussion on the cognition of machine generated models is provided in Section 3.5.
- There are indeed situations whereby a model is generated that cannot be understood or further manipulated (see Figure 13 for example). In effect, the resulting model is within the control of the designer but as with any parametric model generated by someone else, it does require effort to understand (see cognition discussion, Section 3.4).
- Sections 4.1 and 4.2 describe cases where more parameters (not less) produced more legible models that could be taken forward. There exists a balancing act between the size of the model and being able to understand the influence of the parameters that should be part of the design search.

5. Real world examples

It is fantastic that the authors were able to use their tool on real design problems — too many papers have been published recently with Grasshopper tools applied only to toy problems. But I feel that authors haven't taken full advantage of this situation. In particular, there is no discussion of how this process compared to more traditional design processes. Given the opening arguments, it would be especially useful to evaluate these projects in terms of flexibility and legibility. At the moment all the paper really says is that Embryo was used on these projects, it doesn't offer much in the way of a critical assessment of whether these projects were successful.

- The example in Section 4.1 has been expanded to include more detail and additional critique. One aspect that was not previously mentioned was that a wide variety of automatically generated massing models could be used to benchmark designs arrived at using traditional methods.
- The use of random genotypes in the first example is discussed. Future work is proposed to incorporate an interactive GA.

- Additional discussion is now given in terms of model legibility, in particular that more not less parameters helped give a better understanding of how each affected the model. More research is required here building on these initial applications.

6. Overall

This is a facilitating paper and the five points above are a reflection of how interested I've become in this subject and how hungry the authors have made me for more information. I appreciate that space is limited and that this might not be the right venue for a in-depth technical discussion of meta-programming. That said, I feel that some of the introduction could be condensed, particularly section 3, to spend less time citing historic examples in order to give more space to critically evaluating the new and innovative work that has been presented.

- Section 2 (previously Section 3) has been reduced considerably to accommodate more detailed information in Sections 3 and 4.
- Illustrations have improved to give the reader a much better understanding behind the process.
- Evaluation of the method and its current limitations has now been discussed in much more detail, in particular in Sections 3.3, 4.1 and 4.2.

Paper Title:

Meta-Parametric Design

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Abstract:

Parametric modelling software often maintains an explicit history of design development in the form of a graph. However, as the graph increases in complexity it quickly becomes inflexible and unsuitable for exploring a wide design space. By contrast, implicit low-level rule systems can offer wide design exploration due to their lack of structure, but often act as black boxes to human observers with only initial conditions and final designs cognisable. In response to these two extremes, the authors propose a new approach called Meta-Parametric Design, combining graph-based parametric modelling with genetic programming. The advantages of this approach are demonstrated using two real case-study projects that widen design exploration whilst maintaining the benefits of a graph representation.

Keywords:

Parametric design; conceptual design; design cognition; human-computer interaction; genetic programming.

Highlights

- Inflexibility of parametric models and implications for design are discussed.
- Explicit and implicit approaches compared in the context of artificial embryogeny.
- Parametric models generated automatically that are cognisable by human designers.
- Parametric models evolved in combination with metaheuristic algorithms.
- Meta-Parametric Design software successfully tested on two case study projects.

Meta-Parametric Design

Abstract

Parametric modelling software often maintains an explicit history of design development in the form of a graph. However, as the graph increases in complexity it quickly becomes inflexible and unsuitable for exploring a wide design space. By contrast, implicit low-level rule systems can offer wide design exploration due to their lack of structure, but often act as black boxes to human observers with only initial conditions and final designs cognisable. In response to these two extremes, the authors propose a new approach called Meta-Parametric Design, combining graph-based parametric modelling with genetic programming. The advantages of this approach are demonstrated using two real case-study projects that widen design exploration whilst maintaining the benefits of a graph representation.

Keywords

Parametric design; conceptual design; design cognition; human-computer interaction; genetic programming.

In Computer-Aided Architectural Design (CAAD), two distinct approaches have found favour in recent years. The first involves parametric modelling using an explicit visual dataflow program in the form of a graph. Design variations are explored by adjusting input parameters. The second approach concerns implicit methods inspired by complex systems, whereby the process of generation is irreducible to an explicit representation.

Although the latter approach can often offer wide design exploration (Bentley and Kumar, 1999), it is debatable whether implicit bottom-up methods are suitable at the conceptual design stage simply because natural systems develop form in this way. Instead, by acknowledging that humans must converse with machines and each other as part of a healthy digital design process, an alternative approach is proposed that offers wide design exploration whilst retaining an explicit representation of development for human cognition.

1. Parametric modelling

Parametric modelling is now a well-established tool in the computational design community. Software applications such as Generative Components (Bentley Systems), Dynamo (Autodesk) and Grasshopper (McNeel and Associates) allow complex ideas to be quickly explored, often beyond the reach of traditional techniques such as hand sketching, physical model making and CAD.

A subset of parametric modelling based on dataflow programming associates parameters and functions to form a Directed Acyclic Graph (DAG). As well as generating a design, the DAG acts as a *cognitive artifact* describing the history of design development and shifting the focus from final form to that of digital process (Oxman, 2006).

1.1 Parametric design exploration

The structure of the DAG governs the design space to be explored when parameters are adjusted (Aish and Woodbury, 2005). A combination of parametric modelling and performance analysis tools allow designs to be evaluated both quantitatively and qualitatively in real-time when adjusting parameters (Shea et al., 2005). A typical DAG-based parametric schema is shown in Figure 1. Five numeric parameters (a) are passed through associated functions (b) that generate the design (c). Performance feedback from analysis (d) guides parameter adjustment, either manually or by setting an objective function and using a metaheuristic algorithm (e).

To date, the most popular metaheuristics used in parametric design are evolutionary algorithms, due to their ability to efficiently explore a wide and unknown solution space (Turrin et al., 2011). Such tools are becoming increasingly mainstream as little or no programming experience is required to use them. For example, the introduction of the Galapagos Evolutionary Solver for Grasshopper (Rutten, 2013) has increased their popularity in architectural design (Ercan and Elias-Ozkan, 2015).

1.2 Limitations at the concept stage

The conceptual design stage is when the most important decisions are made that will shape the future of a project. It is also the time when least is known about the design constraints and objectives that will co-evolve during design development (Menges, 2012). Even if consistent quantitative design drivers can be identified, there often exists qualitative criteria that cannot be easily defined.

1 Although a DAG-based parametric model keeps a record of how the building geometry is
2 created, displaying this explicitly comes at a price. As Aish and Woodbury (2005, p. 11)
3 state: “nothing can be created in a parametric system for which a designer has not explicitly
4 externalised. This runs counter to the often-deliberate cultivation of ambiguity that appears to
5 be part of the healthy design process”. As the DAG becomes ever more complicated, so its
6 flexibility reduces. The graph can quickly resemble a tangle of spaghetti, making it hard to
7 follow geometric relationships (Davis et al., 2011) (Figure 2). In terms of design exploration,
8 one is often left merely fine tuning numeric parameters.
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15 The time required to generate topologically different parametric models is one reason why
16 hand sketching and physical models are still popular at the concept stage, where the design
17 process can jump between completely different typologies (Figure 3). That said, extracting
18 quantitative performance is often difficult with sketches and physical models, leading to a
19 temptation to begin with computer models from day one; a shift encouraged by developments
20 in Building Information Modelling (BIM) for the early design stage (Eastman, 2009).
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27 **1.3 Limitations for future development**

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29 The relationship between a parametric model and its output is many-to-one, i.e. an identical
30 form can be constructed using different parametric definitions. Figure 4 shows the same form
31 created by lofting through shrinking floor profiles (a) and by slicing a cuboid with an inclined
32 plane (b). Although the resulting form is identical, the graph structure defines subsequent
33 model development. The design process can easily become *locked-in*, with any changes to
34 requirements resulting in a time-consuming rebuild of the model from scratch (Holzer et al.,
35 2007).
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43 This parametric *lock-in* encourages the re-use of existing definitions on different projects;
44 either by direct copy-and-paste or by compiling commonly used processes into a single
45 component that is then reused. As Moussavi (2011) states, “parametric design as a style
46 disposes itself of the restraints of external parameters and promotes the autonomy of
47 architectural forms, while it cannot advance beyond new ways of shaping matter to produce
48 unexpected spaces.” In summary, parametric design tools seem unsuited to the early stage as
49 they either lock the designer to one typology, or else require the laborious creation of many
50 different definitions.
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2. An implicit approach

Unlike parametric models, natural systems do not keep an explicit record of how to build an organism laid out in their genes. An organism's DNA contains rule based information that encodes an emergent process of development from a single embryo, i.e. morphogenesis. The complexity of an emergent process means that small alterations to the genotype can often lead to large changes to the phenotype, for example its size, shape and number of repeating modules in the living form. A small change to a relatively small set of genes known as the homeobox for example can give rise to large changes to the final 'body-plan' of the organism (Weinstock, 2010).

2.1 Artificial embryogenesis

The ability of natural systems to produce a great variety of forms has offered inspiration to computational designers (Steadman, 2008). Artificial Embryogenesis (AE) is the study of taking natural evolutionary development and artificially replicating it inside the machine (Kowaliw, 2007). Bentley and Kumar (1999) have used the concept of AE to compare various ways of generating designs and measure how appropriate they were for the task of wide exploration in combination with an evolutionary algorithm. They found that an implicit representation gave rise to a much greater variety of designs than an explicit embryogeny such as a parametric model. Historically, the use of an implicit embryogeny for generating architectural design was explored by Frazer (1995), Coates (1996) and Bentley and Wakefield (1998) with the evolution of rule based cellular automata and remains a popular technique in computational design.

2.2 The process black-box

Whilst implicit methods have many advantages, the difficulty of understanding a complex development process is often overlooked. In Bentley and Kumar's (1999) implicit example, only the final resulting design was available with no simple description of the development (i.e. CA rules to final design) that could be easily understood. This is in contrast to a parametric definition for example (Figure 5). In addition, due to the indirect mapping from genotype to phenotype, a small change in the initial rules and the process has to be run again from scratch. Even then it is difficult for the human observer to wilfully influence such a complex process, since any intervention can lead to a vastly different outcomes. This *irreducibility* is a well-known characteristic of both chaotic (Strogatz, 2006) and complex systems (Wolfram, 1984).

2.3 Impact on collaboration

The non-adoption of automatic plan generation tools in architecture (Liggett, 2000) shows the importance of feeling involved in the generative design process. As Derix states (2010, p. 64), when black box tools are developed for others to deploy, “the designer is side-lined into a seed-watch-evaluate role who feels their intentions and heuristics are not participating in the search”.

In contrast, graph-based parametric models have been shown to assist design teams to engage at the level of design generation by acting as cognitive artifacts at the level of process (Hudson et al., 2011; Oxman and Gu, 2015). If well-structured parametric models have the potential to be understood by others due to their explicit representation, it follows that parametric models generated by machines could potentially be understood by human designers.

3. Meta-parametric design

In response to both the inflexibility of explicit modelling tools and the irreducibility of an implicit approach, the possibility of automatically generating parametric models is an interesting alternative (Harding et al., 2013). Although Gero and Kumar (1993) have previously shown methods to widen the design space using re-parameterisation, this approach goes one step further in order to think topologically (DeLanda, 2002) and consider the whole structure of a parametric definition.

The authors call this approach Meta-Parametric Design with strong similarities to genetic programming (GP), whereby whole computer programs are generated by machines. GP has been explored in the generation of form by Coates et al. (1999) using Lindenmayer systems, and later using simple geometric operations in a shape grammar (Coates, 2010). Hernandez’s (2006) work on Design Procedures (DP) and more recent work on Compositional Pattern Producing Networks (CPPN) by Clune and Lipson (2011) have shown the potential of evolving a graph representation to generate form.

3.1 DAG generation

As well as GP using tree structures (Koza, 1994), the automatic generation of DAGs has also been of research interest due to their close relationship to computer algorithms, particularly their application in work scheduling problems (Van Leeuwen, 1991). Cartesian Genetic

1 Programming (CGP) (Miller, 2000) is a more recent approach that generates explicit DAGs
2 very similar to that used in parametric design, using an integer genotype encoding. Partly due
3 to its explicit embryogeny, CGP has been successfully used in a wide variety of applications
4 in combination with evolutionary algorithms (Millar, 2003).
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8 An example of a CGP system is given in Figure 6. Initial parameters in the graph are tagged
9 sequentially (a). Each node in the graph is a function with inputs and outputs. When a new
10 node is added, the encoding method (b) defines the specific function from a pick-list and to
11 which outputs in the graph the node inputs are connected. The outputs of this new node are
12 then tagged, and the next node is added. This process continues until the genotype is
13 exhausted. In this example, terminating nodes (c) are also defined so that the graph defines a
14 further phenotype, in this case set of equations (d).
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22 There is an obvious similarity to a CGP system and DAG-based parametric modelling. The
23 functions in a CGP can be replaced with components in a parametric schema, and the
24 terminating nodes are not required. The next section describes the process of applying CGP
25 as a software plug-in to Rhino Grasshopper.
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30 **3.2 Embryo plug-in for Grasshopper**

31 Embryo is the name given to a software plug-in to Grasshopper written by the first author that
32 applies a form of CGP to parametric models (Harding, 2014). It was chosen to develop a tool
33 that would work within an existing parametric environment, so that automatically generated
34 DAGs could work alongside those created manually.
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40 In general, Grasshopper model generation can be split into three parts: external parameters
41 (for example numeric sliders, external geometry, etc.), the components in the graph and the
42 topological structure that associates components. These three parts form the basis of the
43 genotype used by Embryo when constructing a parametric model:
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- 48 a) Metric genes: control the parameter values for generated sliders and have a direct
49 numerical mapping. These can be either integer or floating point values. These metric
50 parameters are the first objects generated by Embryo.
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- 53 b) Function genes: when a component is added to the graph, the function genes control
54 the type of component selected from a given pool.
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- c) The topological genes are integer based and map the output location for each component input when forming the graph. Only one wire can be connected to each input, but outputs can be used multiple times.

Figure 7 shows how these three sets of genes (a, b and c) are connected to the main Embryo component that generates the parametric model. The user also specifies the number of sliders and components to be used. There exists a random override input (d), which if used generates a random genotype that replaces any existing genes. This feature can be useful in giving an overall feel for the design space.

Figure 8 gives a simple example of the genotype-phenotype mapping using two component types. The process is split into five stages. At Stage 1, the first four values of the genotype are interpreted as slider values (green) and placed on the canvas. Stages 2-5 then involve the sequential placing and connecting of components. For each component placed, the function genes (blue) specify the type and topology genes (red) govern the connections for each input. Note that the number of topology genes per component (by default set to length 4) is constant regardless of the number of inputs the component has. Although requiring a larger genotype, this ensures that should a component be replaced (e.g. a single mutation of the genotype), the effects of having a different number of inputs does not cascade to all subsequent components. These *dormant* topology genes may of course be activated if the component type changes.

When a component is added, each of its input nodes is connected backwards to an output of a compatible datatype. This is achieved by forming a sublist of valid outputs before connecting using the topological genes; for example if the input Grasshopper datatype is a Number, then the sublist may contain Integers but it cannot contain Points. If the topological gene value is higher than length of the sublist, then modular arithmetic is used. For example at Stage 4 in the example, the first input of the new component is 7, but as there are only 4 suitable inputs available, $7 \pmod{4} = 3$. Components for which it is not possible to connect an input are removed. At Stage 3, the orange component has failed and is removed because it requires two inputs of Point datatype, but only one is available in the entire graph. However, a similar box component is added later at Stage 5 when two points are available. After each component is added, its outputs become available for connection at the next iteration.

A typical Embryo set-up in Grasshopper is shown in Figure 9. The designer specifies the components to be included (a) and the Embryo component is located on the main canvas (b). Settings can be adjusted (c) such as display parameters, whether multiple edges can connect

1 to outputs, geometry preview, displaying failed components, etc. The new graph is then
2 automatically generated above the main Grasshopper canvas area (d). This can include tagged
3 output parameters from an existing model on the main canvas (e). Data can be retrieved from
4 the generated graph and connected to tagged inputs of an existing model (f). Having such a
5 connection to manually created parametric elements helps facilitate working between
6 different levels of abstraction (parametric and meta-parametric) within the same Grasshopper
7 file.
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13 Some examples of simple graphs and associated designs generated by Embryo are shown in
14 Figure 10, where ‘s’ represents the number of sliders and ‘c’ the number of components.
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18 **3.3 Comparison to shape grammars**

19 In effect, Embryo turns Grasshopper into a shape grammar system for exploring
20 combinatorial problems (Stiny and Mitchell, 1978). At present, the *variety* of components in
21 models generated by humans is at present difficult to achieve and the examples thus far
22 involve relatively simple geometric components and a limitation of one input per parameter.
23 In this sense, meta-parametric modelling could benefit from predefined heuristics or perhaps
24 a form of machine learning over time in terms of successful component combinations, much
25 like humans do in order to increase model complexity.
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34 CGP suffers from common GP issues such as a limitation on the number of rules/components
35 to avoid generating predominantly impossible combinations of components and models.
36 However, by using an existing parametric modelling tool such as Grasshopper, testing
37 different component combinations is relatively straightforward. CGP has also been shown to
38 reduce the problem of bloat in comparison to tree-based GP (Millar, 2001), although a cap on
39 calculation time would be a useful addition to Embryo. The fact that unsuccessful
40 components are removed from the canvas sequentially (see Figure 8) also helps reduce the
41 chance of errors trickling through the model. In combination with an appropriate
42 metaheuristic, design spaces involving non-computable parametric models can be better
43 avoided during design exploration.
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53 **3.4 Combining with metaheuristics**

54 The genotype can be easily combined with a metaheuristic algorithm within the Grasshopper
55 environment, for example by using the Galapagos evolutionary solver (Rutten, 2013). An
56 example of such a setup is given in Figure 11 in solving a combinatorial problem, in this case
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1 finding a combination of operators (a) that will manipulate six integers to reach a target
2 number. Embryo (b) constructs a graph from gene pools (c), noting that no new sliders are
3 generated, rather existing numbers are tagged (d). The graph is generated (e) and distance
4 from the target number measured (f) which is minimised by Galapagos (g) by altering the
5 gene pools. Essentially, a *meta-parametric* model is constructed that optimises a parametric
6 model.
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11 Such a fitness function can be defined by recovering not just numbers but geometry generated
12 by Embryo to the main canvas and measuring its performance within Grasshopper (see
13 Section 4.1). Such evolutionary methods using CGP have found that crossover can have a
14 limited effect and hence often rely on mutation alone (Clegg et al., 2007).
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20 As an explicit embryogeny is used, the genotype is mapped directly onto the topological
21 structure of the graph, as opposed to an implicit method with low-level rules. Although a
22 larger genotype is required, this mapping leads to a closer mapping between the genotype and
23 phenotype than with an implicit approach, assisting with evolvability by reducing
24 discontinuity in the search space (Kumar and Bentley, 2000).
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29 30 **3.5 DAG Cognition**

31 One advantage of machine generated models is the potential to maintain a consistent
32 structure. In this regard, Embryo generates a DAG that is already topologically sorted into a
33 dependency hierarchy which can assist human understanding post-creation (Figure 12). In
34 addition, tools that step through the graph, previewing each stage of development are
35 included within Embryo.
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42 Although the generated parametric models have the *potential* to be legible, this varies
43 depending on the situation. For larger graphs there is still the potential ‘spaghetti problem’
44 (see Section 1.2) with many crossing edges, requiring effort on the part of the user to
45 understand. Ways to counter this range from including graph legibility during the design
46 search itself (see Section 4.1) to providing a method for automatically untangling the graph
47 (Section 5.1).
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54 Embryo can also be used if the legibility is sacrificed to explore more complex models, for
55 example the model shown in Figure 13 which to generate manually would be practically
56 impossible. This potentially extends the capability of tools like Grasshopper in a new
57 direction albeit, one where understanding the model is highly compromised.
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4. Implementation

Two real projects are shown here as examples of Embryo being used in different design contexts in practice. Firstly to generate massing concepts for a residential development. Secondly, for a mixed-use tower project whereby parametric models are evolved to match an existing geometric concept design using shape analysis.

4.1 Enhancing design exploration

In collaboration with 3dReid architects and Ramboll engineers, Embryo was used during the concept design stage of a high-density residential project in Tower Hamlets, London. A series of initial design team meetings were held in order to set out the parameters and constraints for the project. This included writing bespoke components based on the project requirements, such as apartment width for single and double aspect accommodation, and high-level bridge links between adjacent buildings to improve connectivity.

Parametric models were initially created using randomly generated genotypes, three examples of which are shown in Figure 14. In almost all cases, bloat was not an issue and models were able to be computed without error. The building performance of each generated design was assessed in real-time within Grasshopper, including daylight assessment, internal floor area, spatial connectivity, building heat loss and views to key London landmarks. This was achieved using a special Embryo component that collects geometry from the generated model (shown * in Figure 15), acting in a similar way to a tagged parameter input on the main canvas (see Section 3.2).

During the study, it was found that reducing the complexity of the graph also became an objective in itself, with legibility of the parametric model playing an important part in the design process. Figure 15 shows an example of how one of the machine generated parametric models was further progressed manually by the design team. Interestingly, it was found that models with more and not less parameters were easier to progress. Their influence affected less components and hence the consequences of adjustment were easier for the team to understand.

Embryo was used to generate and analyse novel design ideas that may have otherwise remained unexplored running alongside using more traditional methods such as sketching and physical massing models. Approximately 60 Embryo generated models of a wide variety were used to benchmark the performance (both quantitative and qualitative) of designs

created using more traditional methods within the practice. Here, automation made it possible to quickly generate many alternatives for comparison. It was felt however that more work needs to be done on this interface between physical and digital modes of representation, for example 3d printing some of the Embryo massing models would have meant working in both directions in terms of comparing design methods.

Although Embryo was a useful addition to the project, the use of random genotypes in this particular application made design exploration somewhat undirected and disjointed. The use of evolutionary methods on a similar project would be interesting to revisit in the future, in particular incorporating an *interactive* evolutionary algorithm using artificial selection.

4.2 DAG evolution

Another interesting application is in the creation of parametric definitions for existing CAD geometry. This includes searching for alternative definitions for geometry generated by an existing process (see Section 1.3). Figure 16 shows an example on a mixed-used tower project in collaboration with Danish architects AG5 and Ramboll Engineers in London. An existing concept design made in Grasshopper was used as a target for evolving a machine generated alternative using the Galapagos evolutionary solver (a).

As with the example in the previous section, by retrieving the geometry from the generated model to the main canvas, analysis could be performed within Grasshopper. An objective function was set using vertex matching techniques found in shape analysis (Costa, 2000). Removing crossover and relying on mutation alone was found to generate the best results, something in keeping with similar studies using CGP due to the nature of the encoding (Clegg et al., 2007).

Although the final result was not a perfect match, this is in part due to the change in components used in the newly generated definition (b). Particularly at the early design stage, often a *good enough* match is perfectly acceptable as was the case in this instance. Consisting of a new set of parameters, components and associations, the alternative parametric model could then be used to progress the design in a different direction than would have been possible otherwise. Here, there is a natural analogy with ‘convergent evolution’ in nature, whereby different development paths and genotypes can lead to similar traits in organisms. A well-known example of this is the independent evolutionary development of the camera eye by both vertebrates and octopuses.

1 Although promising for simple massing forms, further research on the potential for evolving
2 parametric models for more complex problems is required and the method is not without
3 issue. For example, whilst understanding the new model construction was possible, there was
4 a disconnection in terms of ascribing meaning to the parameters; especially those that
5 controlled many different geometric operations. As in Section 4.1, rather than reducing
6 models to as few parameters as possible, models with *more* parameters proved to be more
7 useful going forward (Harding, 2014). Clearly more empirical work is required here in terms
8 of understanding model legibility when created by machines, although it is clear that reducing
9 analysis of legibility to the complexity of the graph alone is not sufficient.
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18 **5. Conclusion**

19 This paper began by highlighting two main approaches to form generation in computational
20 design, explicit and implicit. The authors argued that an implicit approach, though giving the
21 wide design exploration suitable for the concept stage, has a lack of structure that has often
22 proven to be useful in parametric design. Such methods are therefore difficult to use in a
23 collaborative design environment, where a common language is required for communication
24 between humans and machines.
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33 DAG-based parametric models however struggle with topological inflexibility. By allowing
34 them to be automatically generated, this offers a new opportunity for a greater number of
35 parametric definitions to be implemented at concept design stage, where wide design
36 exploration is required. The process of creating parametric models thus becomes similar to
37 sketching and making physical models, but with the added benefits of having a digital
38 presence, i.e. for quantitative measurement or performance analysis.
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45 We call this approach Meta-Parametric Design, and argue it is well equipped to deal with the
46 *wicked* nature of the concept design stage with unknown constraints and goals. Initial tests on
47 real projects have been positive, although there is much work to be done to understand the
48 potential use of this method and its applications. We believe that this work can inspire the
49 application of genetic programming into the wider computational design community.
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55 **5.1 Future work**

56 Some applications of a meta-parametric design approach were given in Section 4. However,
57 there is much potential for developments and improvements. These include the following:
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- Incorporating self-similar structures as part of the DAG encoding (Boers and Sprinkhuizen-Kuyper, 2001), similar to those used with CPPN methods (Clune and Lipson, 2011). This would help to reduce the size of the genotype for more complicated graphs. Grasshopper now includes modular ‘clusters’ (parametric definitions grouped into one single component) that could be useful for this development.
- More work on the legibility of parametric models generated by the machine. Algorithms exist in graph theory, such as reducing the amount of overlapping edges (Verbitsky, 2008) but to the author’s knowledge are yet to be implemented in parametric design.
- Additional study is required in light of the findings in Section 4, namely the preference for models with more parameters not less. Clearly the intelligibility of parametric models cannot be based on graph complexity alone.
- Combining Embryo with interactive evolutionary algorithms that use artificial selection. This would enable qualitative criteria to be better accommodated during design exploration.
- Investigate effective methods for genotype crossover within CGP (Clegg et al., 2007).
- Expansion on the work shown in Section 4.2 whereby parametric definitions are evolved that open up new avenues of design development and negate the problem of parametric ‘lock-in’. The use of Embryo on more case-study projects will help understand both its potential and limitations.
- Integrate a mixture of explicit and implicit approaches. Singh and Gu (2012) have shown the benefits of a mixture of digital design methods for divergent thinking at the early stage, and Grasshopper for example includes components for imperative programming.

5.2 Summary

Architectural design is becoming increasingly obsessed with combining all elements of performative design into a single quantitative tool to be used as early as possible on projects. In this age of increasing computing power, it is easy to forget that how we approach modelling as design teams has just as important role to play as real-time performance analysis tools.

1 In this context, Meta-Parametric Design is a new method of working with automation that
2 helps design teams engage in wide design exploration whilst retaining the cognitive benefits
3 of an explicit representation. Although machines are often thought of as problem solvers they
4 can be just as valuable in assisting humans during the creative process. In parametric design,
5 increasing model flexibility with help from machines helps prevent finding a solution before
6 knowing the problem.
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41 42 43 44 **Figure Captions**

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46 Figure 1. A typical directed acyclic graph-based parametric definition.

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48 Figure 2. A poorly organised graph is difficult for others to understand.

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51 Figure 3. Physical massing models allow exploration of different design typologies but are
52 difficult to analyse quantitatively.

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56 Figure 4. For the same initial form, two different parametric definitions give different design
57 spaces when adjusting parameters.

Figure 5. Comparison between an implicit (a) and explicit (b) embryogeny for generating simple forms. CA example taken from Coates et al. (1999).

Figure 6. A simple Cartesian Genetic Programming example. An integer-string genotype encodes a DAG that produces a set of equations.

Figure 7. The Embryo component and input parameters

Figure 8. The generation of a parametric model from genotype to phenotype

Figure 9. Typical set up when using the Embryo plug-in for Grasshopper.

Figure 10. Examples of simple parametric models generated by Embryo with four component types: Cartesian point, line by points, divide curve and box by points.

Figure 11. Combining Embryo with a metaheuristic solver

Figure 12. A topologically sorted parametric model by Embryo reveals component dependencies

Figure 13. An unintelligible parametric model generated by Embryo

Figure 14. Parametric massing models generated by Embryo for the Tower Hamlets project.

Figure 15. A parametric model generated by Embryo that was further developed manually.

Figure 16. For an existing tower geometry, a new parametric definition is evolved consisting of different parameters, components and associative structure.

Figure 1

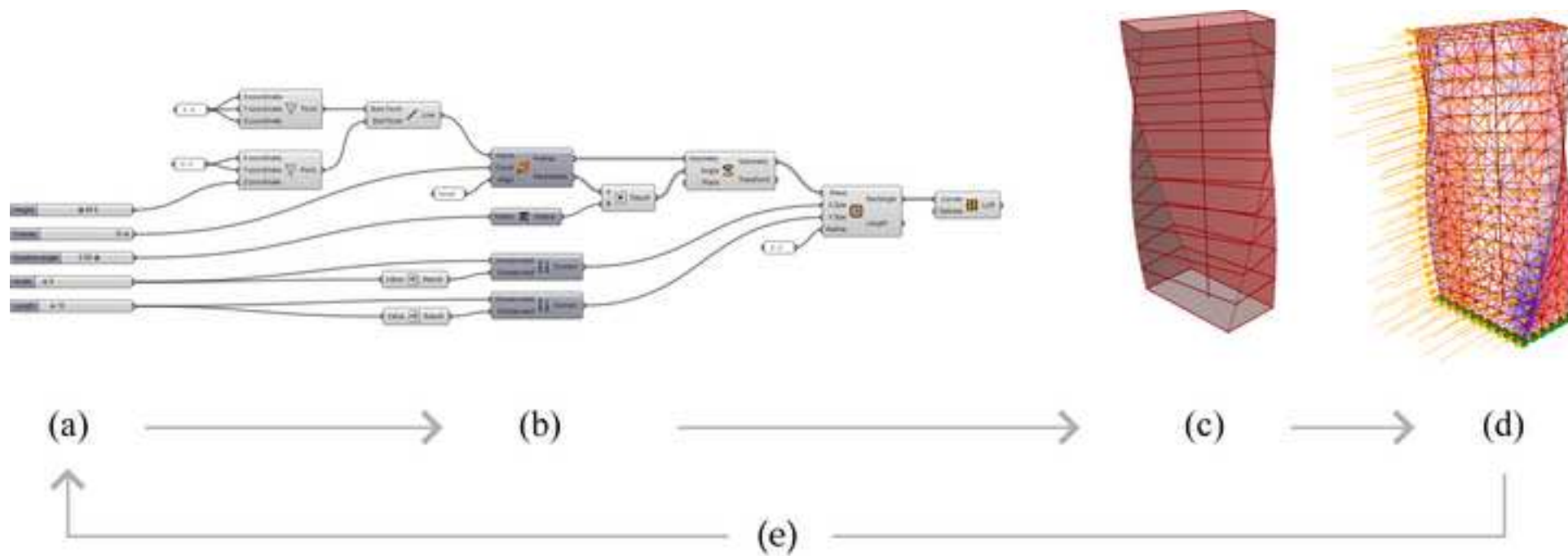


Figure 2

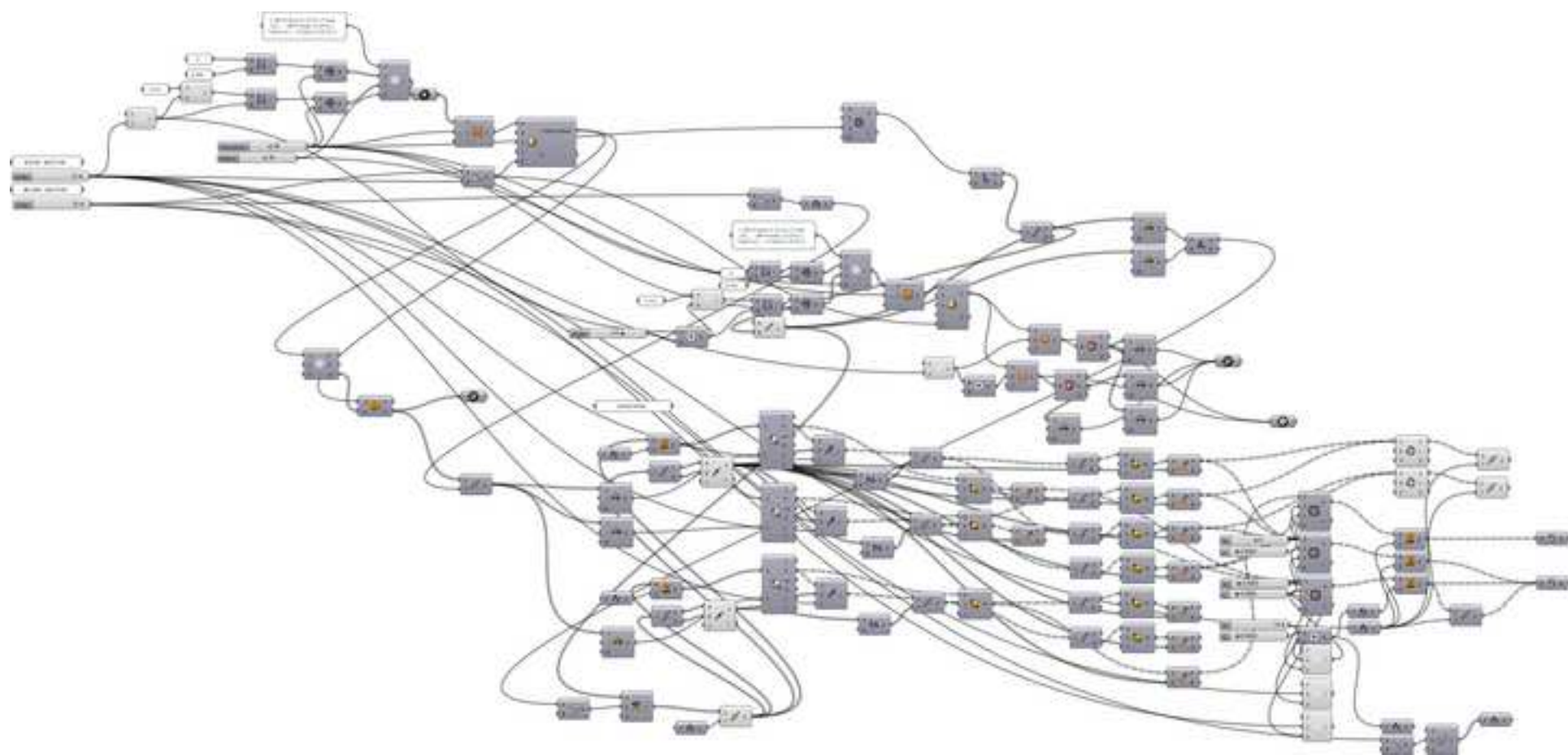


Figure 3

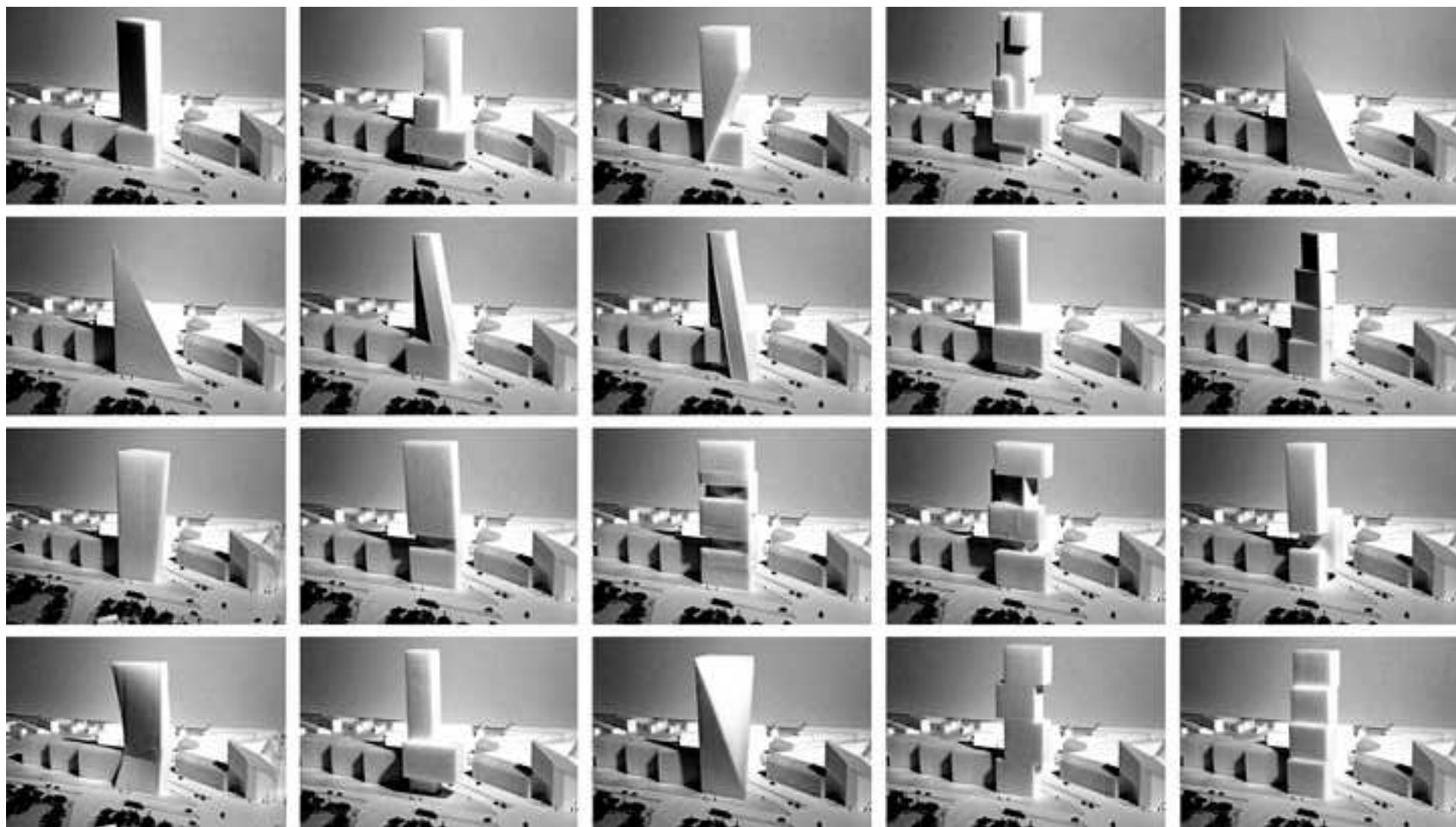


Figure 4

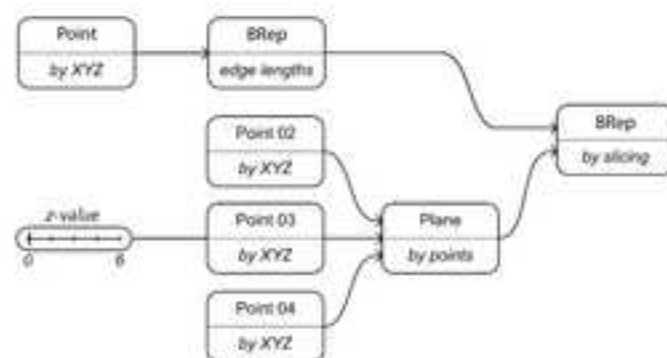
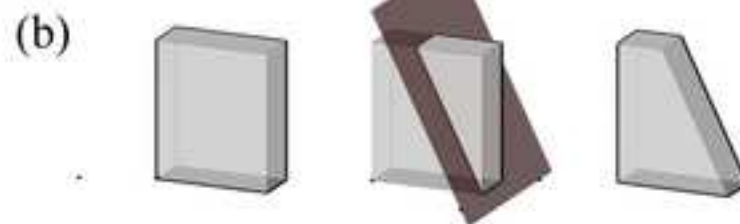
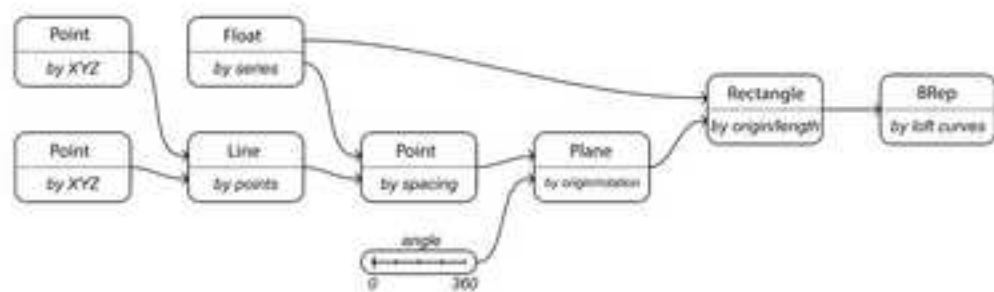


Figure 5

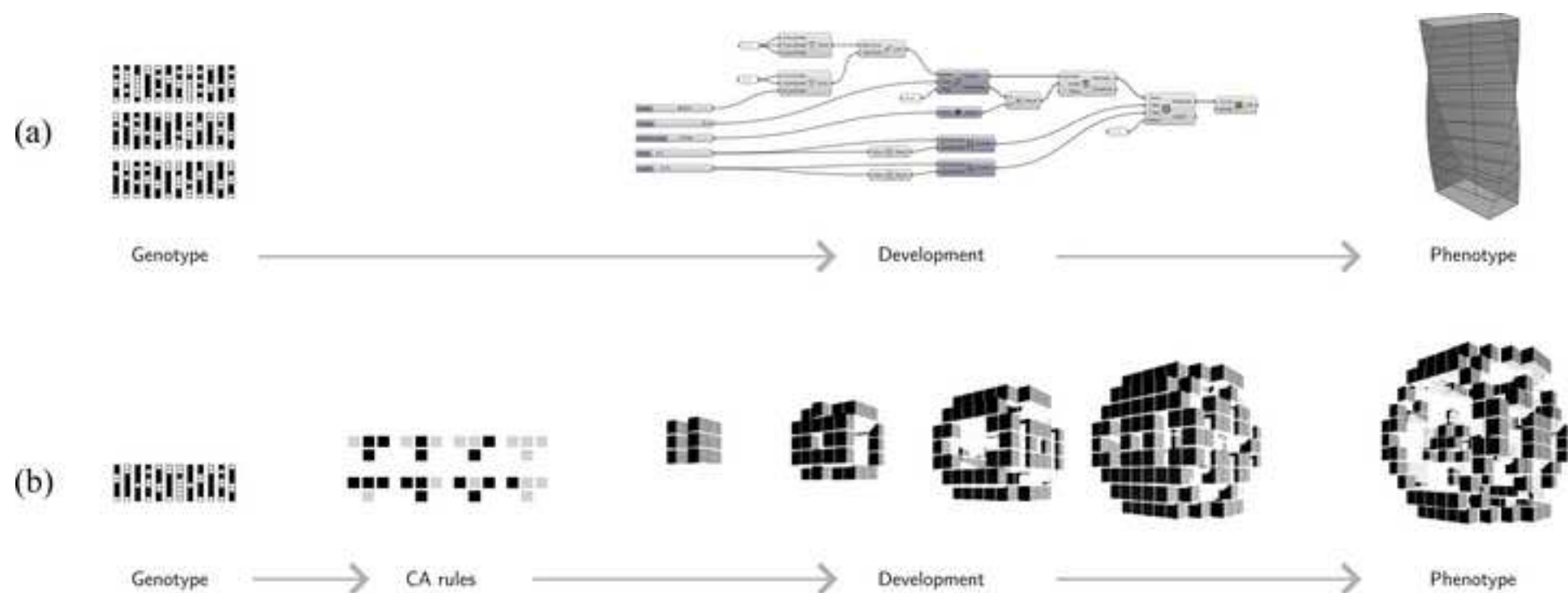


Figure 6

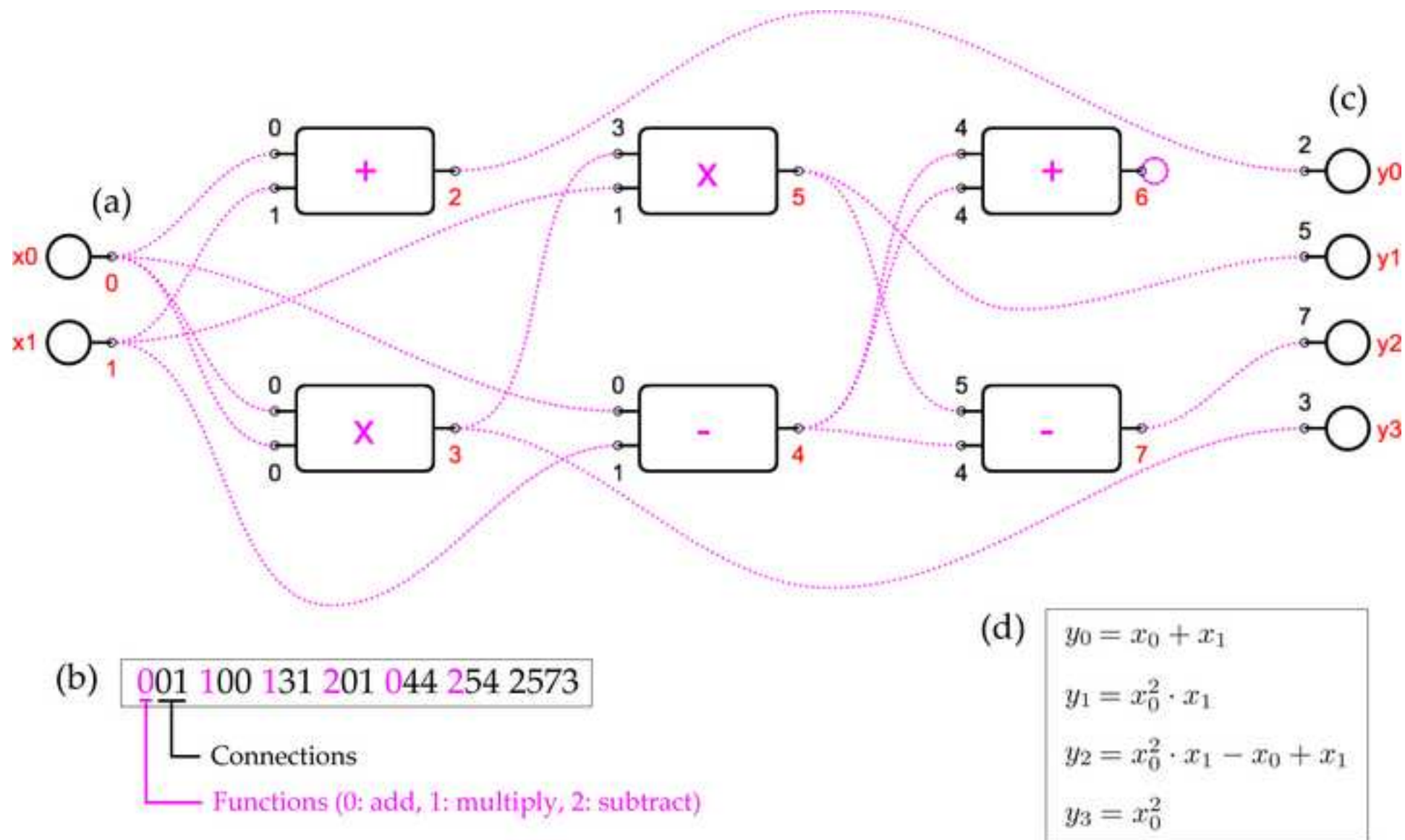


Figure 7

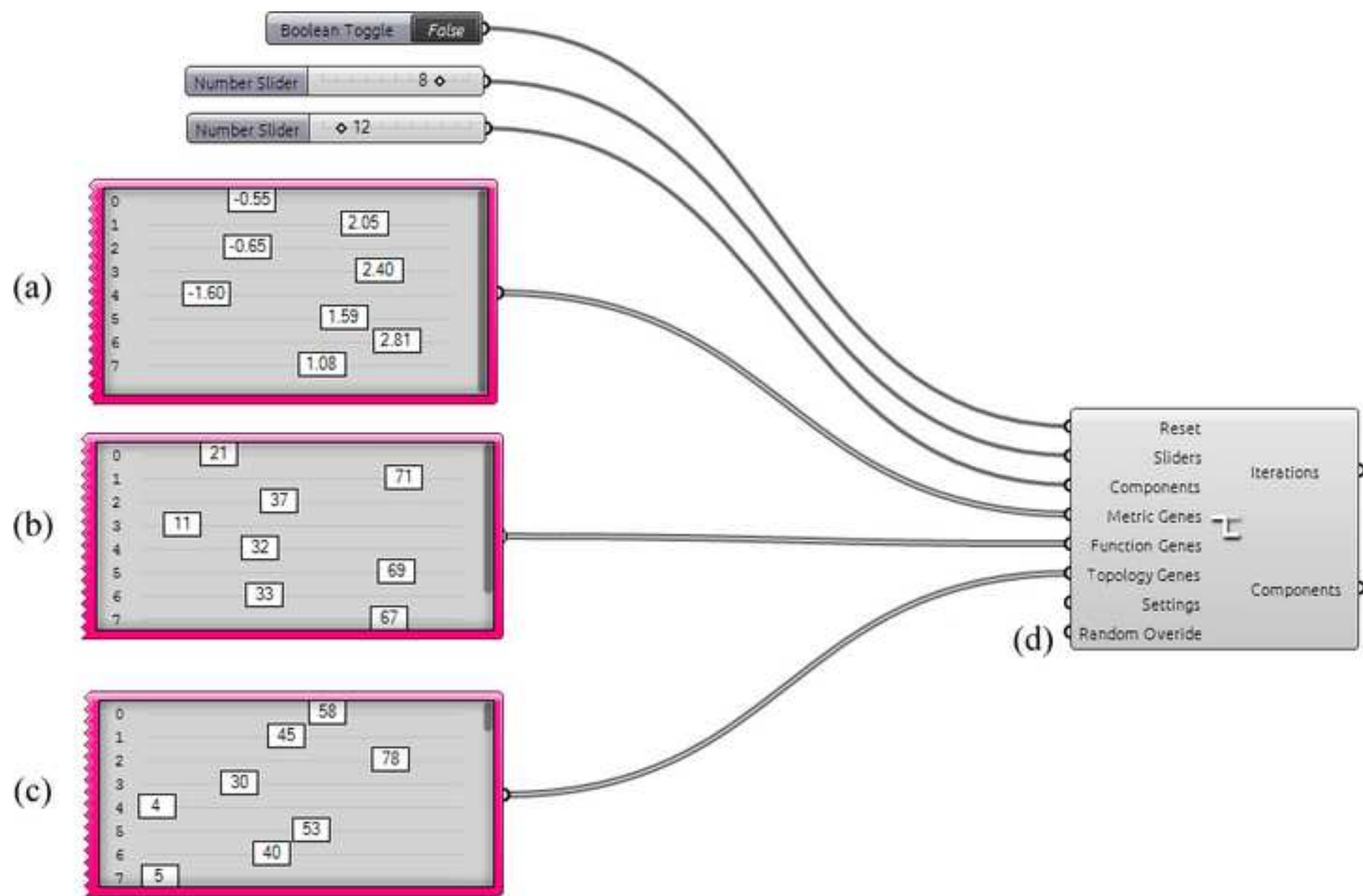


Figure 8

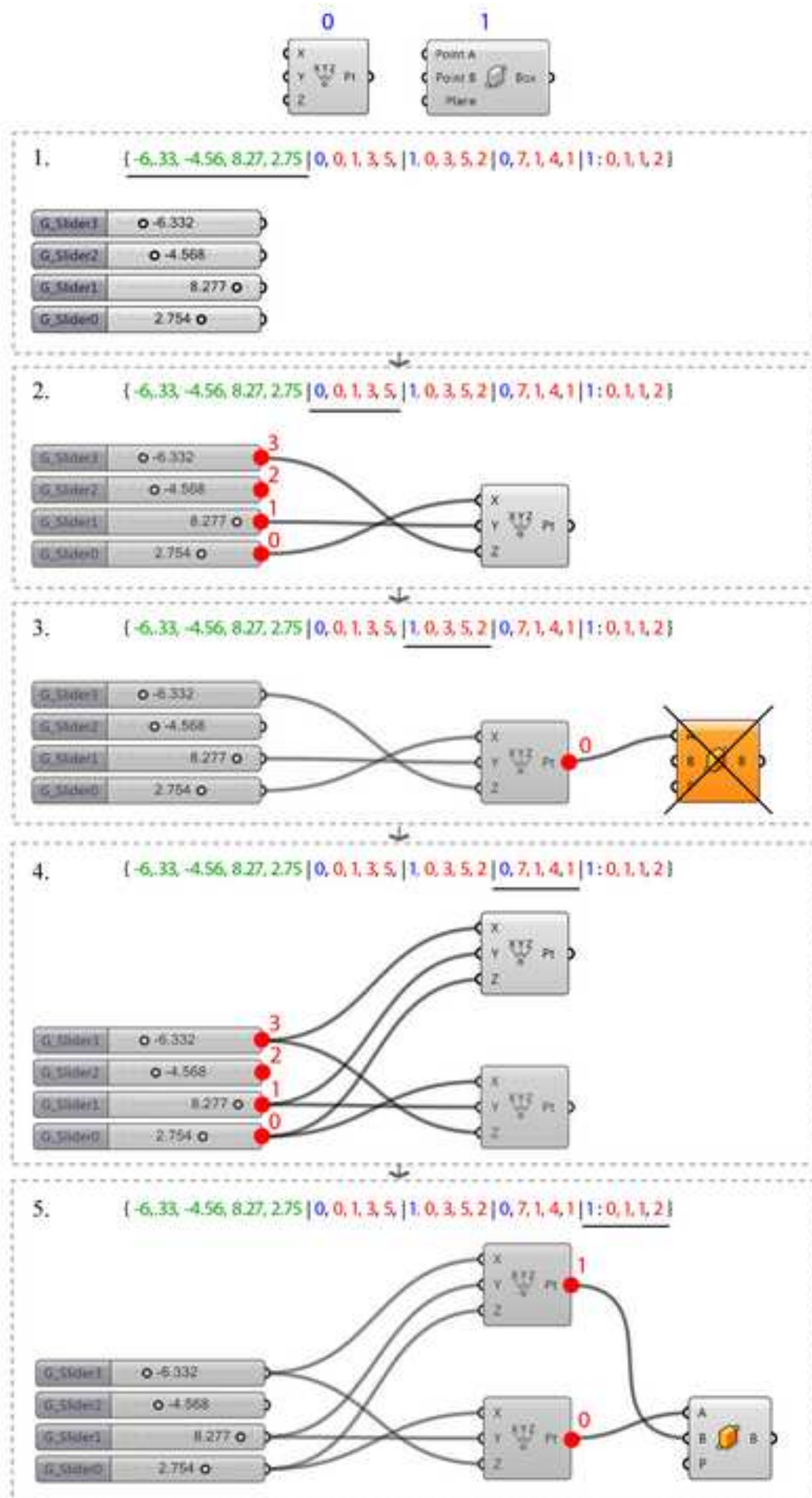


Figure 9

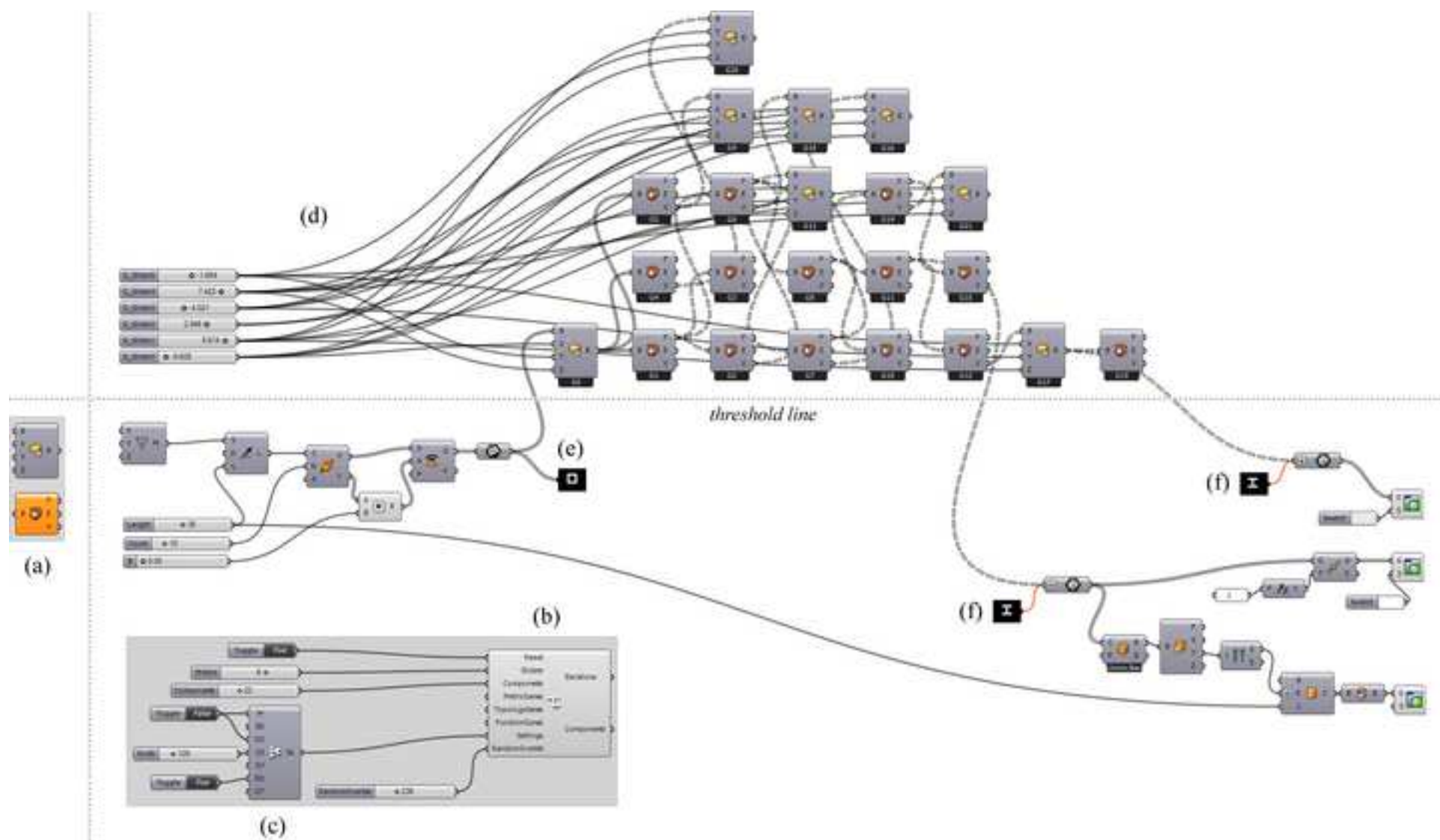


Figure 10

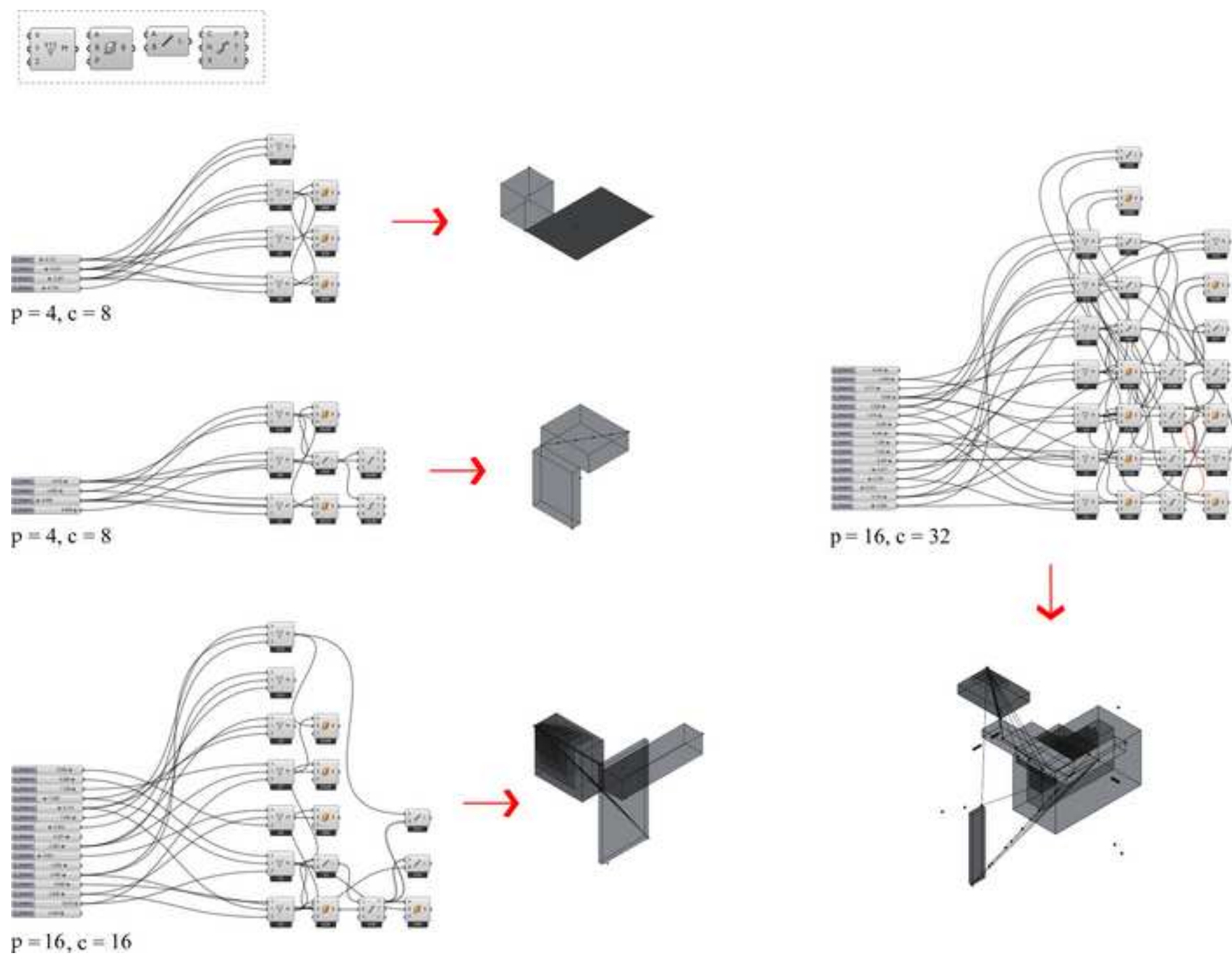


Figure 11

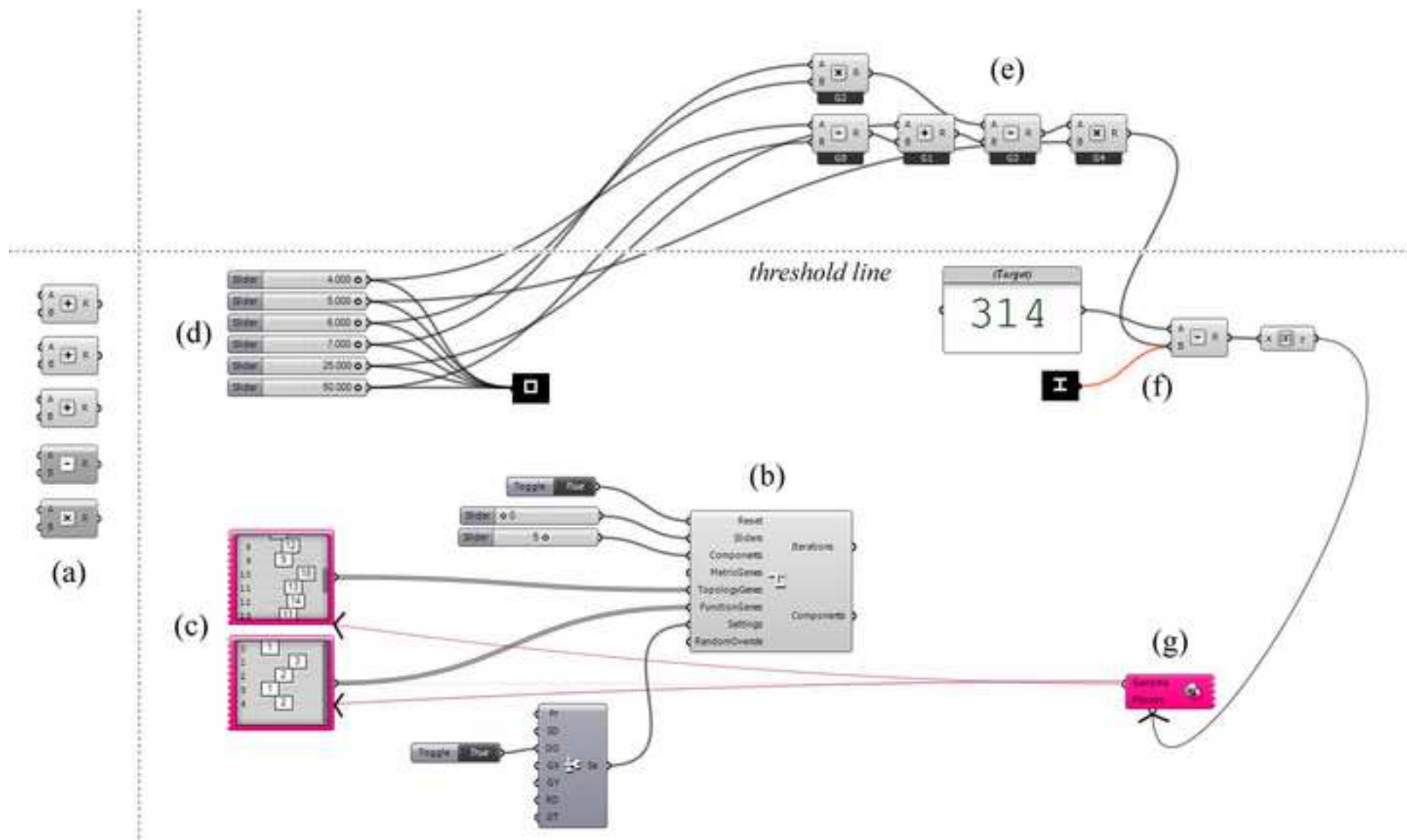


Figure 12

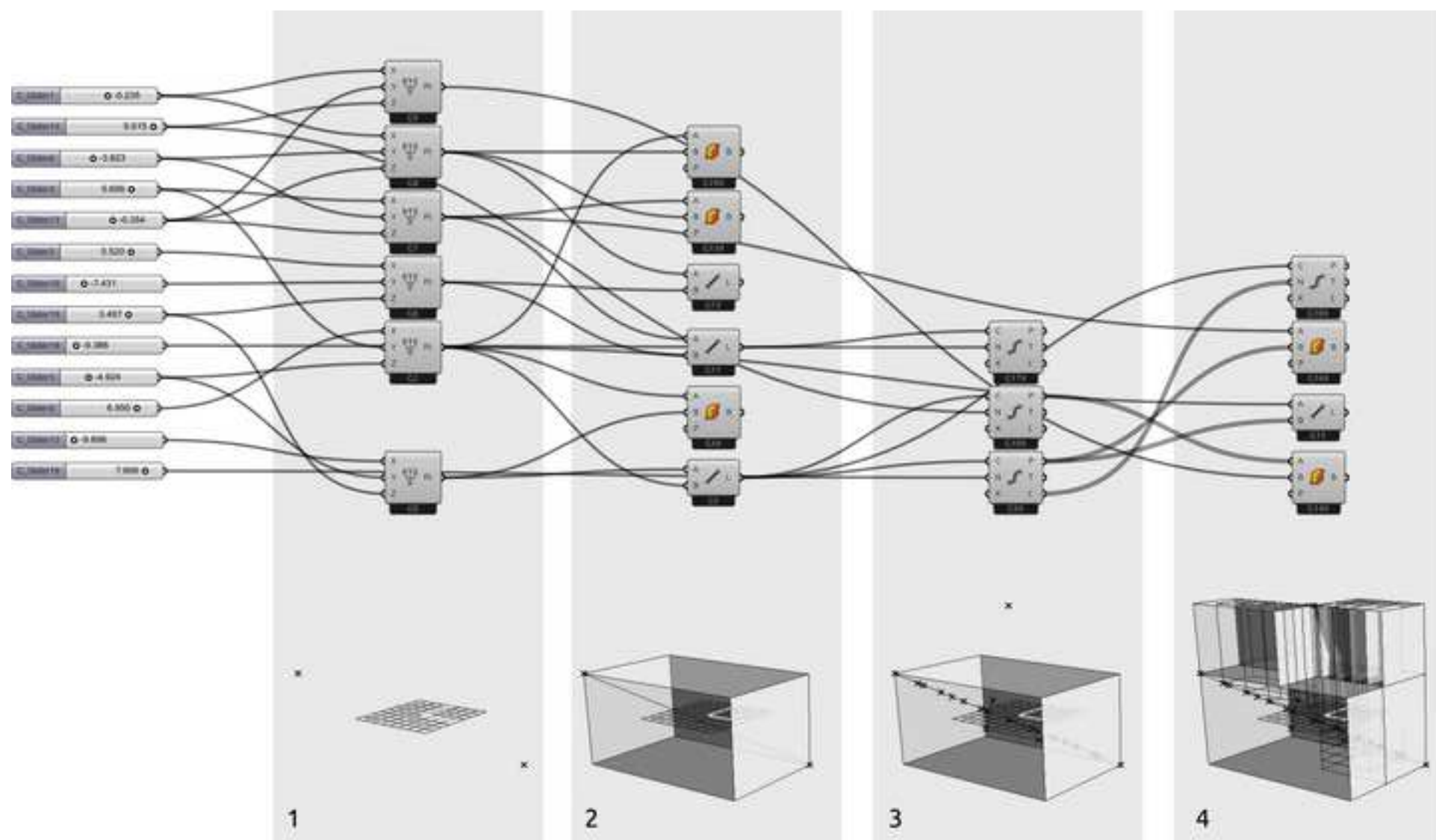


Figure 13

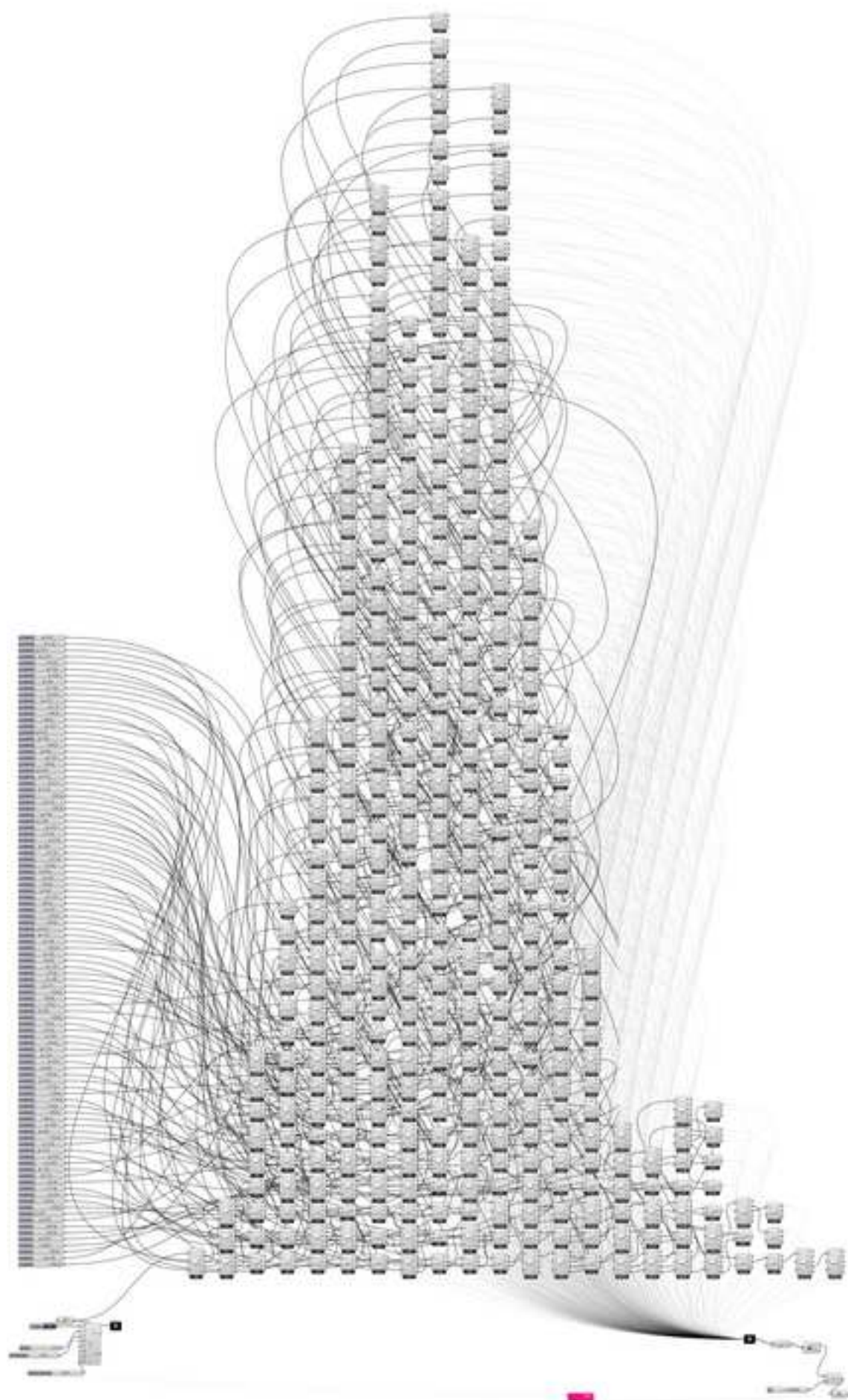


Figure 14

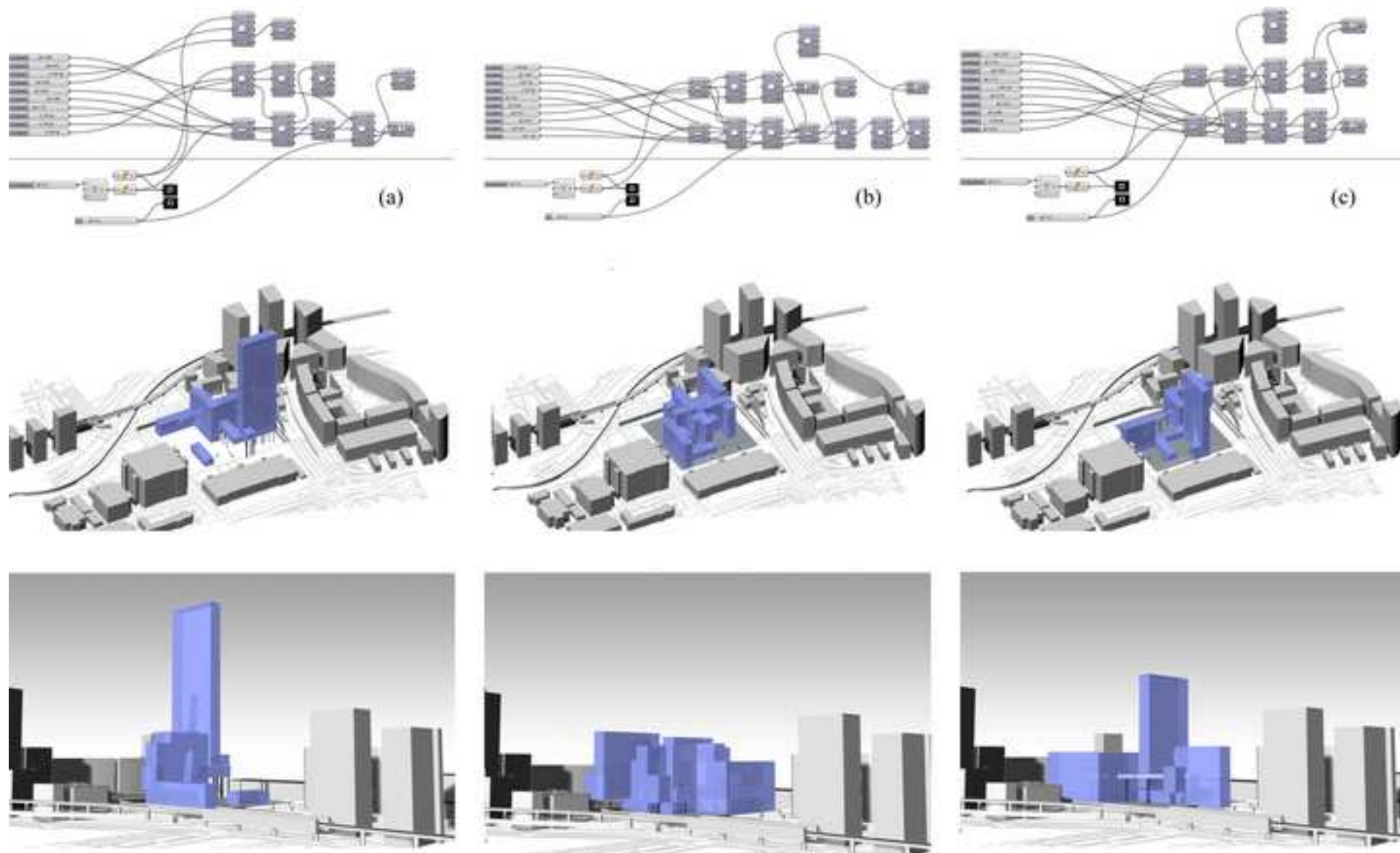
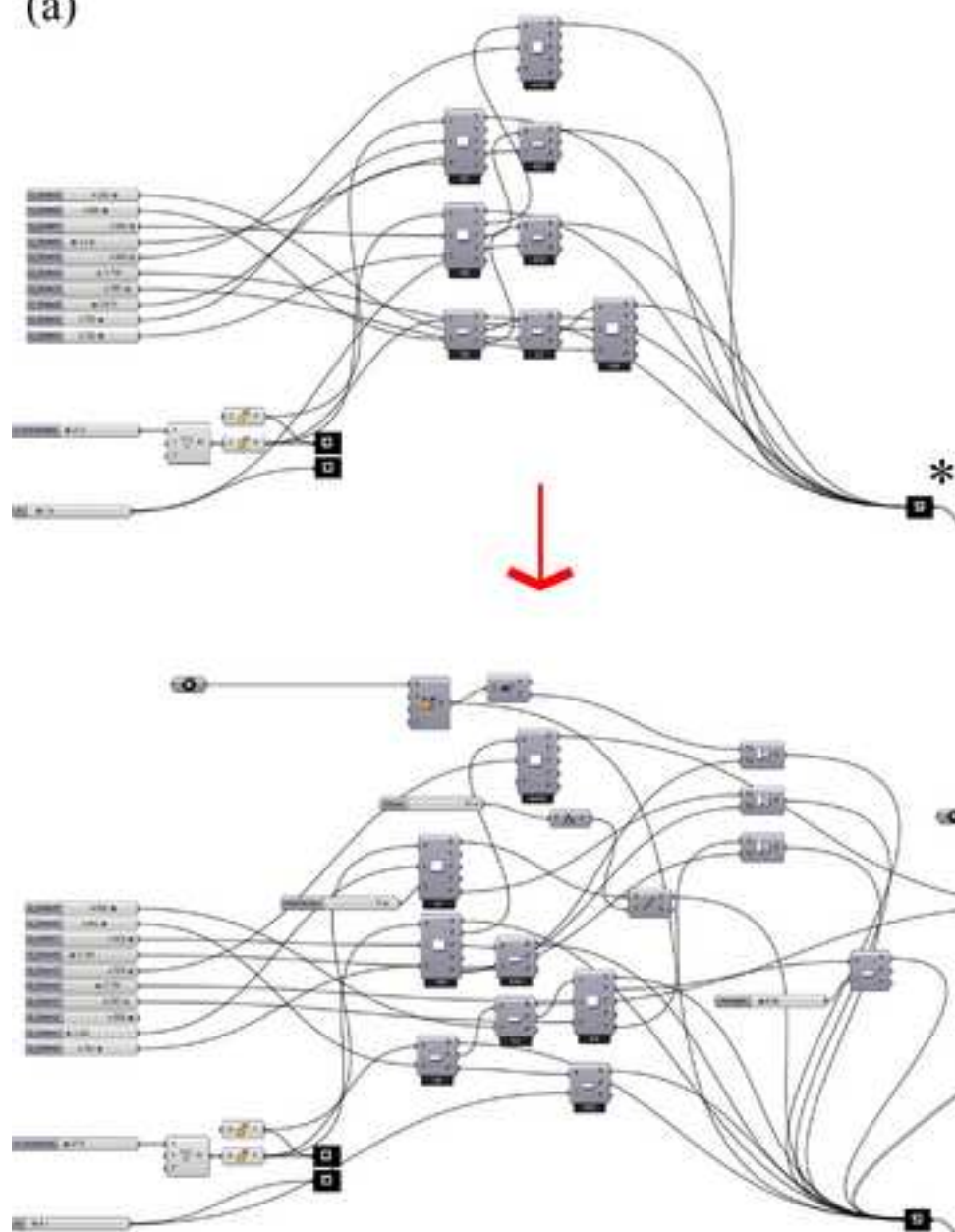


Figure 15

(a)



(b)

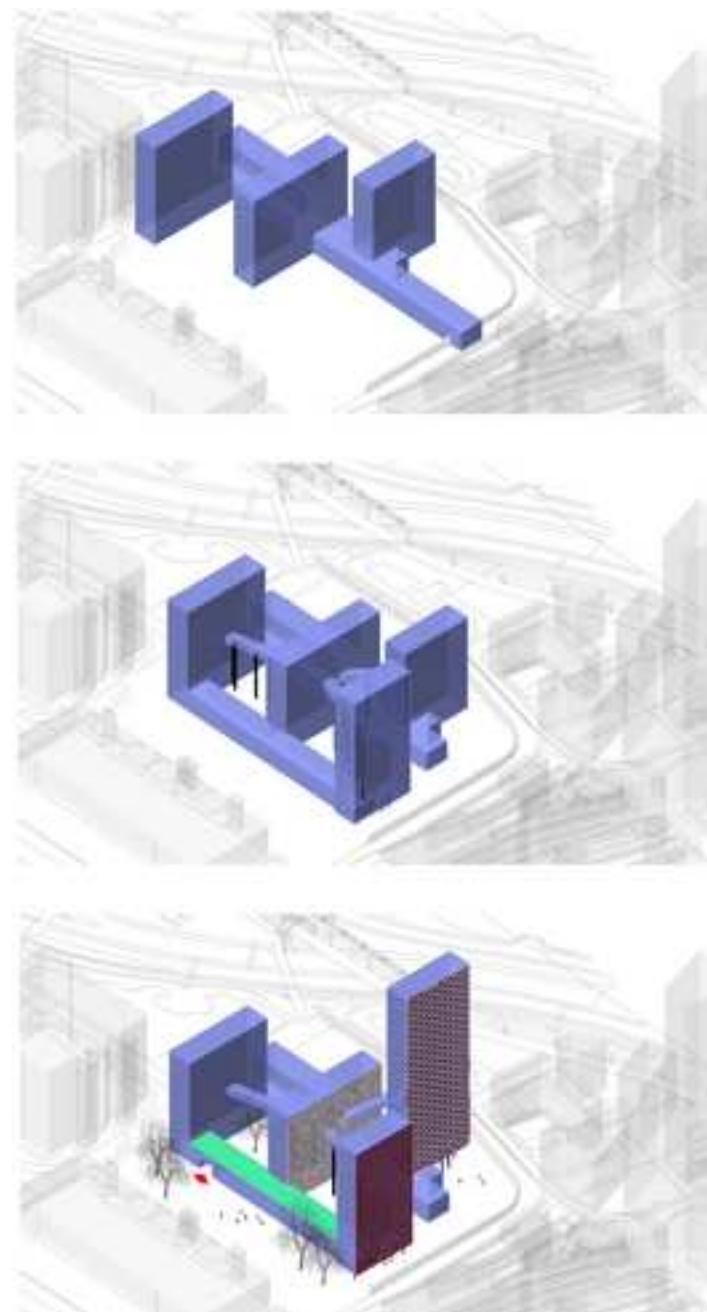
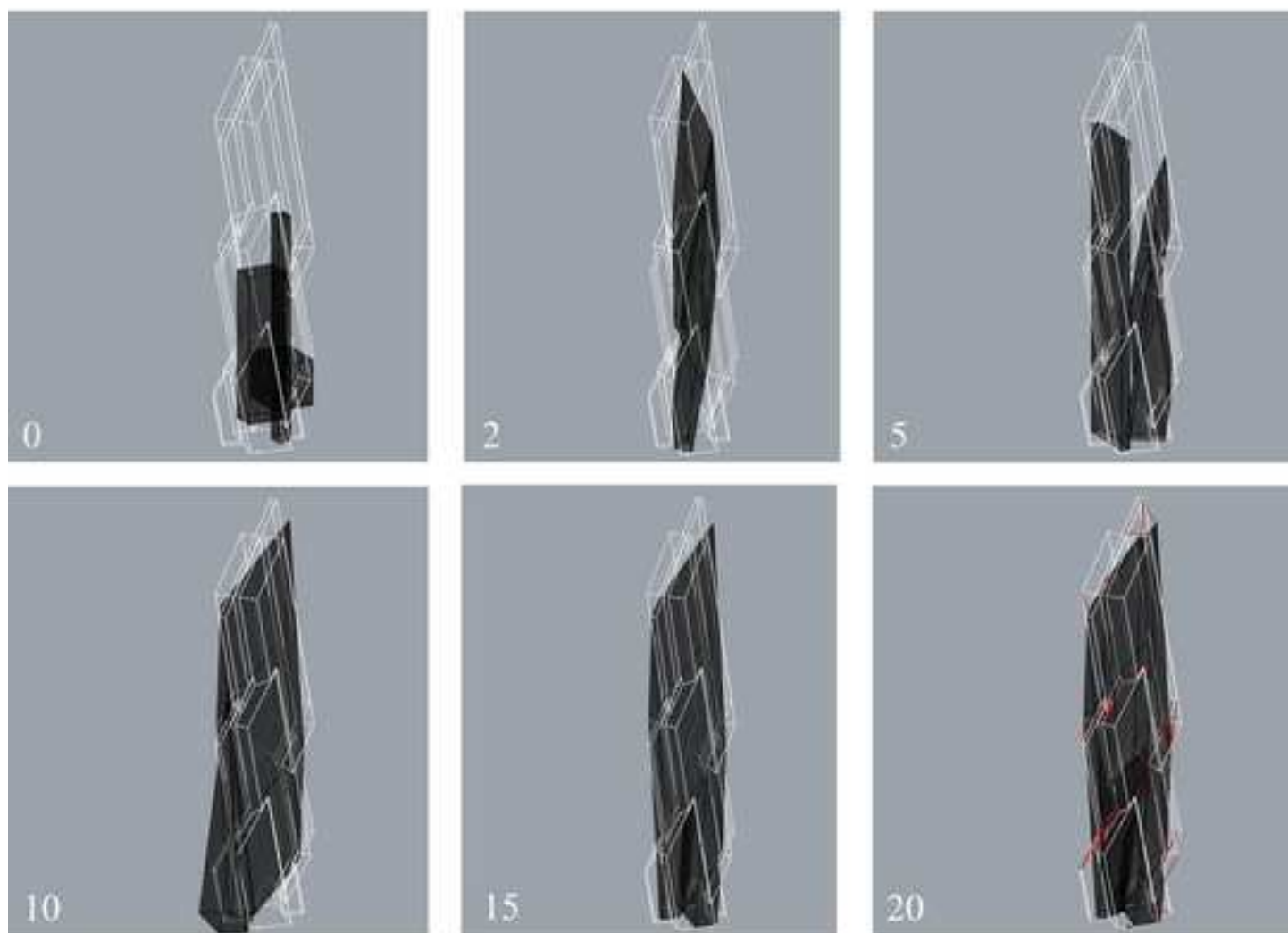
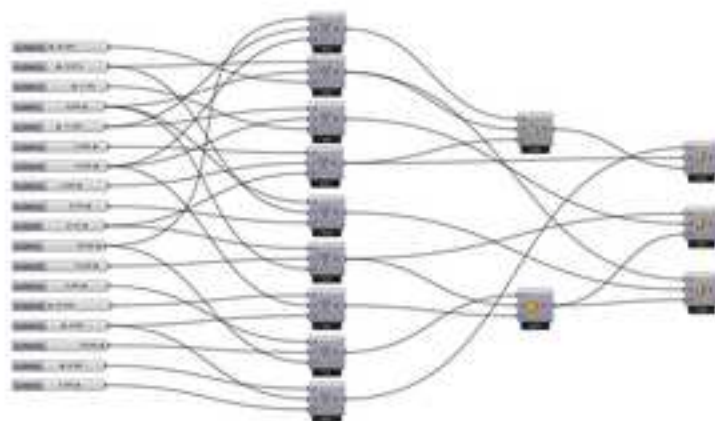


Figure 16

(a)



(b)



Acknowledgements

This work has been supported in part by Ramboll UK and the EPSRC funded Industrial Doctorate Centre in Systems at The University of Bath (Grant EP/G037353/1). The authors would like to thank both 3DReid and AG5 Architects for adopting the Embryo software plug-in during live projects, with particular mention to Charlie Whitaker & Daniel Nielsen.